



**San Francisco
County Transportation
Authority**

BD031026

RESOLUTION NO. 26-45

RESOLUTION APPROPRIATING \$97,000 IN PROP L FUNDS, WITH CONDITIONS,
FOR CALTRAIN GOVERNANCE 2026 WORK PROGRAM AND AD HOC
COMMITTEE SUPPORT

WHEREAS, At the January 8, 2026, Caltrain Joint Powers Board (JPB) meeting, the JPB approved a motion directing the JPB's Ad Hoc Committee on Governance to work in 2026 to bring forward recommended amendments to Caltrain's governing agreements, including addressing several related issues specified in the motion; and

WHEREAS, At the January 27, 2026, Transportation Authority Board meeting, Commissioner Walton requested that the Transportation Authority provide staff support for Caltrain governance development activities during 2026, including his participation on the JPB Ad Hoc Committee; and

WHEREAS, Transportation Authority staff prepared a scope of work to provide staff support for the Caltrain governance work program and Ad Hoc Committee process and an appropriation request for \$97,000 in Prop L funds to fund the work program, as summarized in Attachments 1 and 2 and detailed in the Allocation Request Form (Attachment 4); and

WHEREAS, The subject effort is not included in the adopted Prop L Caltrain Maintenance 5YPP, and in order to preserve the existing programming in the 5YPP which serves as San Francisco's local match contribution to Caltrain's state of good repair capital program, staff requested Board approval of a Prop L Strategic Plan amendment to fund the appropriation from off the top of the capital program to be repaid from the Caltrain Maintenance program in the next Strategic Plan and 5YPP update cycle; and

WHEREAS, The requested Strategic Plan amendment would result in a negligible impact to the assumed level of financing costs for the 30-year Prop L program; and



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WHEREAS, Because the Caltrain Ad Hoc Committee on Governance began its work to guide the 2026 Caltrain governance work program efforts in January 2026, Transportation Authority staff requested a waiver to Prop L policy to allow retroactive expenditures starting February 1, 2026; and

WHEREAS, Transportation Authority staff recommended appropriating \$97,000 in Prop L funds, with conditions, as described in Attachment 3 and detailed in the Allocation Request Form included in Attachment 4, which includes staff recommendations for the Prop L appropriation amount, required deliverables, timely use of funds requirements, special conditions, and Fiscal Year Cash Flow Distribution Schedule; and

WHEREAS, The requested funds, if approved, will be included in the Transportation Authority's FY 2025/26 mid-year budget amendment and sufficient funds will be included in future budgets to cover the recommended cash flow distributions in those fiscal years; and

WHEREAS, At its February 25, 2026, meeting, the Community Advisory Committee was briefed on the subject request and unanimously adopted a motion of support for the staff recommendation; now, therefore, be it

RESOLVED, That the Transportation Authority hereby amends the Prop L Strategic Plan to fund the Caltrain Governance 2026 Work Program and Ad Hoc Committee Support appropriation with \$97,000 in FY 2025/26 funds from off the top of the capital program to be repaid from the Caltrain Maintenance program in the next Strategic Plan and 5YPP update cycle, as summarized in the attached allocation request form; and be it further

RESOLVED, That the Transportation Authority hereby appropriates \$97,000 in Prop L funds, with conditions, for the Caltrain Governance 2026 Work Program and Ad Hoc Committee Support as summarized in Attachment 3 and detailed in the attached allocation request form; and be it further



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RESOLVED, That the Transportation Authority finds the appropriation of these funds to be in conformance with the priorities, policies, funding levels, and prioritization methodologies established in the Prop L Expenditure Plan and the Prop L Strategic Plan; and be it further

RESOLVED, That the Transportation Authority hereby authorizes the actual expenditure (cash reimbursement) of funds for these activities to take place subject to the Fiscal Year Cash Flow Distribution Schedule detailed in the attached allocation request form; and be it further

RESOLVED, That the Capital Improvement Program of the Congestion Management Program is hereby amended, as appropriate.

Attachments:

1. Summary of Request Received
2. Brief Project Description
3. Staff Recommendations
4. Allocation Request Form



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The foregoing Resolution was approved and adopted by the San Francisco County Transportation Authority at a regularly scheduled meeting thereof, this 24th day of March 2026, by the following votes:

Ayes: Commissioners Chan, Chen, Dorsey, Mahmood, Mandelman, Melgar, Sauter, Sherrill, Walton, and Wong (10)

Absent: Commissioner Fielder (1)

DocuSigned by:
Myrna Melgar 4/2/2026
C3882B7D874248C...
Myrna Melgar Date
Chair

ATTEST: DocuSigned by:
Tilly Chang 4/2/2026
FFD2528AB88BE49B...
Tilly Chang Date
Executive Director

Attachment 1: Summary of Requests Received

Source	EP Line No./ Category ¹	Project Sponsor ²	Project Name	Current Prop L Request	Total Cost for Requested Phase(s)	Leveraging		Phase(s) Requested	District(s)
						Expected Leveraging by EP Line ³	Actual Leveraging by Project Phase(s) ⁴		
Prop L	Off the Top of Capital Program / 8 ⁵	SFCTA	Caltrain Governance 2026 Work Program and Ad Hoc Committee Support	\$ 97,000	\$ 97,000	82%	0%	Planning	6, 10, Citywide
TOTAL				\$ 97,000	\$ 97,000				

Footnotes

¹ "EP Line No./Category" is the Prop L Expenditure Plan line number referenced in the Prop L Strategic Plan (e.g. Caltrain Maintenance).

² Acronyms: SFCTA (San Francisco County Transportation Authority)

³ "Expected Leveraging By EP Line" is calculated by dividing the total non-Prop L funds expected to be available for a given Prop L Expenditure Plan line item by the total expected funding for that Prop L Expenditure Plan line item over the 30-year Expenditure Plan period. For example, expected leveraging of 90% indicates that on average non-Prop L funds should cover 90% of the total costs for all projects in that program, and Prop L should cover only 10%.

⁴ "Actual Leveraging by Project Phase" is calculated by dividing the total non-Prop L funds in the funding plan by the total cost for the requested phase or phases. If the percentage in the "Actual Leveraging" column is lower than in the "Expected Leveraging" column, the request (indicated by yellow highlighting) is leveraging fewer non-Prop L dollars than assumed in the Expenditure Plan. A project that is well leveraged overall may have lower-than-expected leveraging for an individual or partial phase.

⁵ This effort is not included in the adopted Caltrain Maintenance 5YPP. In order to preserve the existing programming in the 5YPP which serves as San Francisco's local match contribution to Caltrain's state of good repair capital program, SFCTA staff is requesting a Strategic Plan amendment to fund this request from off the top of the capital program to be repaid from the Caltrain Maintenance program in the next Strategic Plan and 5YPP update cycle.

Attachment 2: Brief Project Descriptions¹

EP Line No./ Category	Project Sponsor	Project Name	Prop L Funds Requested	Project Description
Off the Top of Capital Program / 8	SFCTA	Caltrain Governance 2026 Work Program and Ad Hoc Committee Support	\$97,000	At the January 27, 2026, meeting of the SFCTA Board, Commissioner Walton requested that SFCTA provide technical and policy support on behalf of San Francisco for Caltrain governance development activities during 2026, including to support Director Walton’s participation on the JPB Ad Hoc Committee on Governance. Requested funds will fund SFCTA staff time and as-needed specialty consultant support to provide technical analysis and policy input on the 2026 Caltrain governance work program. SFCTA staff will also coordinate with Caltrain staff and other local and regional counterparts. Since the Ad Hoc Committee on Governance began its work to guide the 2026 work program in January 2026, we are seeking approval for retroactive reimbursement from February 1, 2026.
TOTAL			\$97,000	

¹ See Attachment 1 for footnotes.

Attachment 3: Staff Recommendations¹

EP Line No./ Category	Project Sponsor	Project Name	Prop L Funds Recommended	Recommendations
Off the Top of Capital Program / 8	SFCTA	Caltrain Governance 2026 Work Program and Ad Hoc Committee Support	\$97,000	<p>Special condition: The requested allocation is conditioned upon amendment of the Prop L Strategic Plan to fund the project from off the top of the capital program to be repaid from the Caltrain Maintenance program in the next Strategic Plan and 5-Year Prioritization Program (5YPP) update.</p> <p>Note: This effort is not included in the adopted Caltrain Maintenance 5YPP. In order to preserve the existing programming in the 5YPP which serves as San Francisco's local match contribution to Caltrain's state of good repair capital program, SFCTA staff is recommending a Strategic Plan amendment to fund this request from off the top of the capital program to be repaid from the Caltrain Maintenance program in the next Strategic Plan and 5YPP update cycle.</p> <p>Special condition: Recommendation includes a waiver to Prop L policy to allow funds to be used for retroactive expenses incurred since February 1, 2026.</p>
TOTAL			\$ 97,000	

¹ See Attachment 1 for footnotes.

San Francisco County Transportation Authority Allocation Request Form

FY of Allocation Action:	FY2025/26
Project Name:	Caltrain Governance 2026 Work Program and Ad Hoc Committee Support
Primary Sponsor:	San Francisco County Transportation Authority

EXPENDITURE PLAN INFORMATION

PROP L Expenditure Plan	Prop L Off the Top of Capital Program/Caltrain Maintenance
Current PROP L Request:	\$97,000
Supervisorial Districts	Citywide, District 06, District 10

REQUEST

Brief Project Description

At the January 27, 2026, meeting of the SFCTA Board, Commissioner Walton requested that SFCTA provide technical and policy support on behalf of San Francisco for Caltrain governance development activities during 2026, including to support Director Walton's participation on the JPB Ad Hoc Committee on Governance. Requested funds will fund SFCTA staff time and as-needed specialty consultant support to provide technical analysis and policy input on the 2026 Caltrain governance work program. SFCTA staff will also coordinate with Caltrain staff and other local and regional counterparts.

Detailed Scope, Project Benefits and Community Outreach

Background

In 2022, the Caltrain Joint Powers Board (JPB) and its three member agencies (CCSF, SMCTD, and VTA) entered into a Governance Memorandum of Understanding (2022 MOU). The MOU codified the parties' commitment to advance the governance of the railroad, including a dedicated Executive Director and an initial set of wholly-dedicated Caltrain staff. The MOU acknowledged SamTrans' role as Managing Agency, and the agreement defined the Managing Agency function as limited to the employment of staff and the provision of shared services. The MOU also provided for the repayment to San Mateo County of remaining obligations by San Francisco and Santa Clara County for San Mateo County's original investment in Caltrain's right-of-way; these financial obligations have been fulfilled.

On January 8, 2026, the Caltrain Board approved a motion (proposed by Director Walton, with amendments made during the meeting) concerning governance development, as follows:

Move that Caltrain Governance Ad Hoc Committee bring forward to the Caltrain Board within 6 months recommended amendments to Caltrain's governing agreement including addressing the managing agency role, address the shared services agreement and provide at least an update (or a report) on the performance audit (requested by Director Burt), as well as address the successor agency and Caltrain's own salary ordinance.

To respond to the motion, the Caltrain staff report identified a set of activities to be undertaken by Caltrain during 2026, including:

- Regular meetings of the JPB Ad Hoc Committee on Governance (consisting of Directors Shamann Walton, Jeff Gee, and Margaret Abe-Koga)
- Financial analysis
- Synthesis of prior studies
- Efficiency/performance review of shared services, as request by JPB Director Pat Burt

- Preparation of proposed amendments to Caltrain's governing agreements
- Other work as directed by the Ad Hoc Committee

In parallel to this work, there are other related workstreams advancing, including the development of Caltrain's salary ordinance, to enable participation in CalPERS.

SFCTA Scope of Work

At the January 27, 2026, meeting of the SFCTA Board, Commissioner Walton requested that SFCTA provide staff support on behalf of San Francisco for Caltrain governance development activities during 2026, including Director Walton's participation on the JPB Ad Hoc Committee on Governance. SFCTA staff will provide technical analysis and policy input on the following 2026 Caltrain governance work program in coordination with Caltrain staff and other local and regional counterparts, as well as the SF City Attorney's Office. Legal costs and tasks are not included in this scope of work or budget.

Task 1 -- Ad Hoc Committee Staff Support

Caltrain plans to convene the Ad Hoc Committee on an approximately monthly basis during 2026. For each meeting, SFCTA will review meeting materials and prepare briefing analysis to support Director Walton's participation on this Committee.

Task 2 -- Technical Review and Policy Analysis

Caltrain's governance work program will develop a number of key deliverables, including revisions / amendments to legacy governing agreements and the 2022 MOU and other deliverables (e.g., Shared Services Performance Review, Shared Services Agreement, Salary Ordinance). SFCTA will review these documents on behalf of San Francisco, and prepare draft comments. This task will be coordinated, as appropriate, with the City Attorney's office.

SFCTA will conduct policy analysis of Caltrain governance needs and opportunities, to further develop San Francisco input. This work may include review of prior Caltrain governance model options including organizational analysis, implementation considerations, financial assessment, and/or other relevant policy analyses. As needed, this technical analysis and policy review will be undertaken and/or supported by consultant resources. Consultant support is anticipated for: financial analysis of governance options or recommendations; review of the Shared Services Review interim and final deliverables; preparation of draft analyses to inform San Francisco input to other deliverables prepared for review through the Ad Hoc Committee process; and other review/technical development, as necessary/direct.

Task 3 -- Policy Coordination and Support

As governance-related items advance to the full Caltrain Board, SFCTA will provide advice and recommendations, as appropriate, to the San Francisco representatives to the JPB. SFCTA will also coordinate at the management and executive level with other San Francisco agency representatives, including the Mayor's Office and SFMTA.

Request for Retroactive Reimbursement

Retroactive reimbursement requested from February 1, 2026: On January 27, 2026, Commissioner Walton requested that Transportation Authority staff conduct a time-sensitive work program to support San Francisco's participation in the JPB Governance Ad Hoc Committee and in the associated 2026 work program of Caltrain governance efforts. Because this work program had already commenced (earlier in January 2026), it was necessary to begin work shortly following the request.

Project Location

No Location Provided

Is this project in an Equity Priority Community?	No
Does this project benefit disadvantaged populations?	No

Project Phase(s)

Planning/Conceptual Engineering (PLAN)

5YPP/STRATEGIC PLAN INFORMATION

Type of Project in the Prop L 5YPP/Prop AA Strategic Plan?	New Project
Is requested amount greater than the amount programmed in the relevant 5YPP or Strategic Plan?	Greater than Programmed Amount
PROP L Amount	\$97,000.00

Justification for Necessary Amendment

As described in the scope section, on January 8, 2026, the Caltrain Board approved a motion concerning governance development for the railroad and in response, Caltrain staff identified a set of activities to be undertaken in 2026. At the January 27 SFCTA Board meeting, Commissioner Walton requested that SFCTA provide staff support on behalf of San Francisco for Caltrain governance development activities during the current calendar year. This effort is not included in the adopted Caltrain Maintenance 5YPP. In order to preserve the existing programming in the 5YPP which serves as San Francisco's local match contribution to Caltrain's state of good repair program, SFCTA staff is requesting a Strategic Plan amendment to fund this request from off the top of the capital program to be repaid from the Caltrain Maintenance program in the next Strategic Plan and 5YPP update cycle.

San Francisco County Transportation Authority Allocation Request Form

FY of Allocation Action:	FY2025/26
Project Name:	Caltrain Governance 2026 Work Program and Ad Hoc Committee Support
Primary Sponsor:	San Francisco County Transportation Authority

ENVIRONMENTAL CLEARANCE

Environmental Type:	Categorically Exempt
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PROJECT DELIVERY MILESTONES

Phase	Start		End	
	Quarter	Calendar Year	Quarter	Calendar Year
Planning/Conceptual Engineering (PLAN)	Jan-Feb-Mar	2026	Oct-Nov-Dec	2026
Environmental Studies (PA&ED)				
Right of Way				
Design Engineering (PS&E)				
Advertise Construction				
Start Construction (e.g. Award Contract)				
Operations (OP)				
Open for Use				
Project Completion (means last eligible expenditure)				

SCHEDULE DETAILS

San Francisco County Transportation Authority Allocation Request Form

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FUNDING PLAN - FOR CURRENT REQUEST

Fund Source	Planned	Programmed	Allocated	Project Total
EP-200: Prop L Off the Top	\$97,000	\$0	\$0	\$97,000
Phases In Current Request Total:	\$97,000	\$0	\$0	\$97,000

COST SUMMARY

Phase	Total Cost	PROP L - Current Request	Source of Cost Estimate
Planning/Conceptual Engineering	\$97,000	\$97,000	Staff estimate based on scope of work
Environmental Studies	\$0		
Right of Way	\$0		
Design Engineering	\$0		
Construction	\$0		
Operations	\$0		
Total:	\$97,000	\$97,000	

% Complete of Design:	N/A
As of Date:	N/A
Expected Useful Life:	N/A

San Francisco County Transportation Authority

Prop L/Prop AA/Prop D TNC Allocation Request Form

MAJOR LINE ITEM BUDGET

BUDGET SUMMARY				
Agency	Task 1 - Ad Hoc Committee Staff Support	Task 2 - Technical Review and Analysis	Task 3 - Policy Coordination and Support	Total
SFCTA	\$ 29,100	\$ 21,594	\$ 9,700	\$ 60,394
Consultant	\$ -	\$ 36,606	\$ -	\$ 36,606
Total	\$ 29,100	\$ 58,200	\$ 9,700	\$ 97,000

DETAILED LABOR COST ESTIMATE			
Position	Total Hours for Nine Months	Rate	Total
Deputy Director	45	\$ 276	\$ 12,415
Rail Program Manager	90	\$ 291	\$ 26,213
Principal Planner	108	\$ 202	\$ 21,766
Consultant Support	122	\$ 300	\$ 36,606
Total	365		\$ 97,000

San Francisco County Transportation Authority Allocation Request Form

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Primary Sponsor:	San Francisco County Transportation Authority

SFCTA RECOMMENDATION

Resolution Number:		Resolution Date:	
Total PROP L Requested:	\$97,000	Total PROP L Recommended	\$97,000

SGA Project Number:		Name:	Caltrain Governance 2026 Work Program and Ad Hoc Committee Support
Sponsor:	San Francisco County Transportation Authority	Expiration Date:	06/30/2027
Phase:	Planning/Conceptual Engineering	Fundshare:	100.0%

Cash Flow Distribution Schedule by Fiscal Year

Fund Source	FY2025/26	FY2026/27	Total
PROP L EP-200	\$60,000	\$37,000	\$97,000

Deliverables

1. SFCTA will report periodically to the Transportation Authority Board on Caltrain governance activities, at the call of the Transportation Authority Chair.
2. At the conclusion of the work program overseen by the Ad Hoc Committee, SFCTA staff will prepare a summary report, describing outcomes for San Francisco and anticipated next steps.

Special Conditions

1. The requested allocation is conditioned upon amendment of the Prop L Strategic Plan to fund the project from off the top of the capital program to be repaid from the Caltrain Maintenance program in the next Strategic Plan and 5YPP update.
2. Recommendation includes a waiver to Prop L policy to allow funds to be used for retroactive expenses incurred since February 1, 2026.

Metric	PROP AA	TNC TAX	PROP L
Actual Leveraging - Current Request	No PROP AA	No TNC TAX	0.0%
Actual Leveraging - This Project	No PROP AA	No TNC TAX	0.0%

San Francisco County Transportation Authority Allocation Request Form

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Primary Sponsor:	San Francisco County Transportation Authority

EXPENDITURE PLAN SUMMARY

Current PROP L Request:	\$97,000
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1) The requested sales tax and/or vehicle registration fee revenues will be used to supplement and under no circumstance replace existing local revenues used for transportation purposes.

Initials of sponsor staff member verifying the above statement:
AW

CONTACT INFORMATION

	Project Manager	Grants Manager
Name:	Jesse Koehler	Anna LaForte
Title:	Rail Program Manager	Deputy Director for Policy & Programming
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Email:	jesse.koehler@sfcta.org	anna.laforte@sfcta.org