

Project Monitoring and Delivery



2012 Spring User Week, Technology Transfer

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Federal Funding Sources

- **Project Solicitation**
 - MTC
 - CMAs
 - Caltrans and CTC
 - Earmarks by US Congress
 - US DOT Discretionary Programs
- **Evaluation and Selection of Projects**
- **Approval of Grants**
- **Project input into FMS leading to approval of project in the TIP**

Steps to Access to Federal Funding

- A project in the TIP allows the sponsor to access federal funding and any associated federal actions (such as NEPA approval)
- As soon as a project is in a draft TIP revision or update, the sponsor may begin working with Caltrans Local Assistance towards grant approval
- Obligation (same as “E-76” or “authorization”) is the federal government’s commitment to reimburse the State for federal share of eligible project costs
- **DO NOT** incur any costs for federal reimbursement until FHWA has issued an authorization (E-76 or “obligation” of funds) or FTA fund transfer
- Complete Streets and Resolution, Local Support, PM 2.5 Review

Local Assistance Process Steps

- **TIP Programming**
- **Federal Authorization (E-76) for PE**
- **Project Development**
- **Federal Authorization (E-76) for ROW**
- **Negotiation and Acquire ROW**
- **Federal Authorization (E-76) for Construction**
- **Advertise, Award, Construct Project**

Other Misc. Federal Requirements

- **NEPA Clearance**
- **DBE**
- **Title VI**
- **ROW Certification**
- **Procurements and awards of contracts**
- **Federal Specifications and wages**

“Use It or Lose It”

- **MTC Regional Project Funding Delivery Policy (Resolution 3606)**
- **Ensure timely project delivery and timely use of funds against state and federal funding deadlines.**
- **Applies to federal Surface Transportation Program (STP), Congestion Mitigation and Air Quality Improvement (CMAQ) funds programmed by MTC.**
- **Transitioning to including other federal grants programs under policy (i.e. local bridge and safety programs)**

Regional Project Delivery Benefits

- **Faster delivery generally leads to lower project costs**
- **Region ensures use of its annual obligation authority which expire at the end of the year**
- **If our region uses all of its obligation authority it can receive more funds from other states. This grows MTC's programs, funding more projects**

Key Project Delivery Deadlines

- **Key obligation deadlines (of year programmed in TIP)**
 - February 1: Request Submittal for Authorization (E-76 or FTA fund transfer) to Caltrans. Project substitution if project delays prevent meeting obligation deadline. Projects in subsequent years may be advanced (expedited project selection)
 - April 30: FHWA approval E-76 or transfer of funds to FTA
 - May 1 – August 30: first come first serve of remaining obligation authority
- **Deadlines after Obligation**
 - Six months after obligation: Construction advertisement
 - Nine months after obligation: Construction award
 - Once every 6-12 months: reimbursement

Other Considerations

- No extensions granted
- After obligation projects must remain active (receive a reimbursement) once every 6 – 12 months or be deobligated
- **Advanced Project Selection Process:** After February 1, projects with funds in subsequent years in the TIP may come forward to request funds / OA on a first-come first-serve basis based on sufficient OA
- Agencies that continue to be to be delivery-challenged and/or have projects that have missed deadlines will have future obligations, programming, or requests for advancement of funds restricted until projects are back in good standing.
- MTC, Caltrans and the CMAs are available to assist implementing agencies with deliver challenges, and may be able to find solutions that avoid the loss of funds

For further information

- MTC TIP page: www.mtc.ca.gov/funding/tip/
- Fund Management System: the web accessible TIP
fms.mtc.ca.gov/fms/home.do
- Resolution of Local Support:
www.mtc.ca.gov/funding/STPCMAQ/STP_CMAQ_LocalSupportReso.doc
- MTC Project Delivery / Program Monitoring Website
<http://mtc.ca.gov/funding/delivery/#IV>
- Resolution 3606, Regional Project Funding Delivery Policy for STP and CMAQ Funding
http://mtc.ca.gov/funding/delivery/MTC_Res_3606.pdf