Project Monitoring and Delivery

2012 Spring User Week, Technology Transfer
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Federal Funding Sources

• Project Solicitation
  – MTC
  – CMAs
  – Caltrans and CTC
  – Earmarks by US Congress
  – US DOT Discretionary Programs
• Evaluation and Selection of Projects
• Approval of Grants
• Project input into FMS leading to approval of project in the TIP
Steps to Access to Federal Funding

- A project in the TIP allows the sponsor to access federal funding and any associated federal actions (such as NEPA approval)
- As soon as a project is in a draft TIP revision or update, the sponsor may begin working with Caltrans Local Assistance towards grant approval
- Obligation (same as “E-76” or “authorization”) is the federal government’s commitment to reimburse the State for federal share of eligible project costs
- DO NOT incur any costs for federal reimbursement until FHWA has issued an authorization (E-76 or “obligation” of funds) or FTA fund transfer
- Complete Streets and Resolution, Local Support, PM 2.5 Review
Local Assistance Process Steps

- TIP Programming
- Federal Authorization (E-76) for PE
- Project Development
- Federal Authorization (E-76) for ROW
- Negotiation and Acquire ROW
- Federal Authorization (E-76) for Construction
- Advertise, Award, Construct Project
Other Misc. Federal Requirements

• NEPA Clearance
• DBE
• Title VI
• ROW Certification
• Procurements and awards of contracts
• Federal Specifications and wages
“Use It or Lose It”

- MTC Regional Project Funding Delivery Policy (Resolution 3606)
- Ensure timely project delivery and timely use of funds against state and federal funding deadlines.
- Applies to federal Surface Transportation Program (STP), Congestion Mitigation and Air Quality Improvement (CMAQ) funds programmed by MTC.
- Transitioning to including other federal grants programs under policy (i.e. local bridge and safety programs)
Regional Project Delivery Benefits

- Faster delivery generally leads to lower project costs
- Region ensures use of its annual obligation authority which expire at the end of the year
- If our region uses all of its obligation authority it can receive more funds from other states. This grows MTC’s programs, funding more projects
Key Project Delivery Deadlines

• Key obligation deadlines (of year programmed in TIP)
  – February 1: Request Submittal for Authorization (E-76 or FTA fund transfer) to Caltrans. Project substitution if project delays prevent meeting obligation deadline. Projects in subsequent years may be advanced (expedited project selection)
  – April 30: FHWA approval E-76 or transfer of funds to FTA
  – May 1 – August 30: first come first serve of remaining obligation authority

• Deadlines after Obligation
  – Six months after obligation: Construction advertisement
  – Nine months after obligation: Construction award
  – Once every 6-12 months: reimbursement
Other Considerations

- No extensions granted
- After obligation projects must remain active (receive a reimbursement) once every 6 – 12 months or be deobligated
- Advanced Project Selection Process: After February 1, projects with funds in subsequent years in the TIP may come forward to request funds / OA on a first-come first-serve basis based on sufficient OA
- Agencies that continue to be delivery-challenged and/or have projects that have missed deadlines will have future obligations, programming, or requests for advancement of funds restricted until projects are back in good standing.
- MTC, Caltrans and the CMAs are available to assist implementing agencies with deliver challenges, and may be able to find solutions that avoid the loss of funds
For further information

- MTC TIP page: [www.mtc.ca.gov/funding/tip/](http://www.mtc.ca.gov/funding/tip/)
- Fund Management System: the web accessible TIP [fms.mtc.ca.gov/fms/home.do](http://fms.mtc.ca.gov/fms/home.do)
- Resolution of Local Support: [www.mtc.ca.gov/funding/STPCMAQ/STP_CMAQ_LocalSupportReso.doc](http://www.mtc.ca.gov/funding/STPCMAQ/STP_CMAQ_LocalSupportReso.doc)
- MTC Project Delivery / Program Monitoring Website [http://mtc.ca.gov/funding/delivery/#IV](http://mtc.ca.gov/funding/delivery/#IV)
- Resolution 3606, Regional Project Funding Delivery Policy for STP and CMAQ Funding [http://mtc.ca.gov/funding/delivery/MTC_Res_3606.pdf](http://mtc.ca.gov/funding/delivery/MTC_Res_3606.pdf)