



Memorandum

Date: 07.10.14 **RE:** Plans and Programs Committee
July 15, 2014

To: Plans and Programs Committee: Commissioners Mar (Chair), Kim (Vice Chair), Breed, Campos, Yee and Avalos (Ex Officio)

From: Anna LaForte – Deputy Director for Policy and Programming *all*
Maria Lombardo – Chief Deputy Director for Policy and Programming *mel*

Through: Tilly Chang – Executive Director *all*

Subject: **ACTION** – Recommend Allocation of \$5,322,331 in Prop K Funds, with Conditions, and Allocation of \$2,210,000 in Prop AA Funds, with Conditions, for Six Requests, Subject to the Attached Fiscal Year Cash Flow Distribution Schedules and Amendment of the Prop AA Strategic Plan

Summary

As summarized in Attachments 1 and 2, we have received six requests totaling \$5,322,331 in Prop K funds, and \$2,210,000 in Prop AA funds. We have received a request from the Transbay Joint Powers Authority (TJPA) for the design phase of the Downtown Extension (\$1,219,000 in Prop K funds). Two requests are for annual programs, including the Department of the Environment's commuter benefits program (\$77,546 in Prop K funds) and the Department of Public Works' (DPW's) tree planting and maintenance program (\$1,000,000 in Prop K funds). Two additional requests from DPW are for street repaving projects: West Portal Avenue and Quintara Street Pavement Renovation (\$3,002,785 in Prop K funds) and Dolores Street Pavement Renovation (\$2,210,000 in Prop AA funds). The latter request requires a cost-neutral Prop AA Strategic Plan amendment to advance funds to align with the project schedule. Finally, the San Francisco Municipal Transportation Agency is requesting planning and environmental funds for the Twin Peaks Connectivity project (\$23,000 in Prop K funds). **We are seeking a recommendation to allocate \$5,322,331 in Prop K funds, with conditions, and \$2,210,000 in Prop AA funds, with conditions, for six requests, subject to the attached Fiscal Year Cash Flow Distribution Schedules and amendment of the Prop AA Strategic Plan.**

BACKGROUND

We have received six requests for a combined total of \$5,322,331 in Prop K funds and \$2,210,000 in Prop AA funds to present to the Plans and Programs Committee at the July 15, 2014 meeting, for potential Board approval on July 22, 2014. As shown in Attachment 1, the requests come from the following Prop K and Prop AA categories:

- Prop K Downtown Extension to a Rebuilt Transbay Terminal;
- Prop K Street Resurfacing, Rehabilitation, and Maintenance;
- Prop K Bicycle Circulation/Safety;
- Prop K Tree Planting and Maintenance;
- Prop K Transportation Demand Management/Parking Management; and
- Prop AA Street Repair and Reconstruction.

Allocation of funds from the five programmatic categories listed above (all categories listed except for the Downtown Extension), require Transportation Authority Board approval of the 2014 5-Year

Prioritization Programs (5YPPs) for the respective category. The Transportation Authority Board adopted the 2014 Street Resurfacing, Rehabilitation, and Maintenance, and Tree Planting and Maintenance 5YPPs on June 24. The Board will consider adoption of the Bicycle Circulation/Safety and Transportation Demand Management/Parking Management 5YPPs on July 22, concurrent with the subject allocation requests. The Prop K Expenditure Plan does not require a 5YPP for the Downtown Extension to a Rebuilt Transbay Terminal, which is a project-specific category.

The purpose of this memorandum is to present the Prop K and Prop AA requests to the Plans and Programs Committee, and to seek a recommendation to allocate these funds, with conditions, and amend the Prop AA Strategic Plan.

DISCUSSION

Attachment 1 summarizes the six requests for Prop K and Prop AA funds, including information on proposed Prop K leveraging (i.e. stretching Prop K dollars further by matching them with other fund sources) compared with the leveraging assumptions in the Prop K Expenditure Plan. Attachment 2 provides a brief description of each project. A detailed scope, schedule, budget and funding plan for each project are included in the enclosed Allocation Request Forms.

Downtown Extension: The Transbay Joint Powers Authority (TJPA) has requested \$1.219 million in Prop K funds for the Downtown Extension (DTX). This request will sustain the ongoing coordination between TJPA staff and stakeholders including city agencies, Caltrain, California High Speed Rail Authority, Federal Transit Administration, and Federal Railroad Administration. Prop K funds will fund various professional service costs including project management and coordination, design review, and right-of-way support, and procurement options analysis. This request would fund work on the DTX project through June 2016. Beyond this work, the DTX project is on hold due to a significant funding gap. This allocation will not fund consultant or staff support for the San Francisco Planning Department's Railyard Boulevard Study. Funding for this work would be the subject of a separate request.

The request requires a Strategic Plan policy exception to use the requested Prop K funds for the design phase of the DTX (Phase 2). The 2009 Strategic Plan establishes that all remaining funds for the project, beyond those already programmed to Phase 1, shall be for construction of Phase 2.

Staff Recommendation: Attachment 3 summarizes the staff recommendations for the requests, including recommended special conditions and amendment of the Prop AA Strategic Plan. Project sponsors will attend the Plans and Programs Committee meeting to respond to questions.

We are seeking a recommendation to allocate \$5,322,331 in Prop K funds, with conditions, and \$2,210,000 in Prop AA funds for six requests, subject to the attached Fiscal Year Cash Flow Distribution Schedules and amendment of the Prop AA Strategic Plan.

ALTERNATIVES

1. Recommend allocation of \$5,322,331 in Prop K funds, with conditions, and allocation of \$2,210,000 in Prop AA funds, with conditions, for six requests, subject to the attached Fiscal Year Cash Flow Distribution Schedules and amendment of the Prop AA Strategic Plan, as requested.
2. Recommend allocation of \$5,322,331 in Prop K funds, with conditions, and allocation of \$2,210,000 in Prop AA funds, with conditions, for six requests, subject to the attached Fiscal Year Cash Flow Distribution Schedules and amendment of the Prop AA Strategic Plan, with modifications.

3. Defer action, pending additional information or further staff analysis.

CAC POSITION

The CAC was briefed on this item at its June 25, 2014 meeting, and unanimously adopted a motion of support for the staff recommendation.

FINANCIAL IMPACTS

As detailed in Attachment 2 and the enclosed Allocation Request Forms, this action would allocate \$5,322,331 in Fiscal Year 2014/15 Prop K funds, with conditions, and \$2,210,000 in Fiscal Year 2014/15 Prop AA funds, with conditions. The allocations would be subject to the Fiscal Year Cash Flow Distribution Schedules contained in the enclosed Allocation Request Forms.

The Prop K Capital Budget (Attachment 4) shows the recommended cash flow distribution schedules for the subject requests. Attachment 5 contains a cash-flow-based summary table including the Prop K Fiscal Year 2014/15 allocations to date and the subject Prop K requests.

The Prop AA Fiscal Year 2014/15 Capital Budget (Attachment 6) shows the recommended cash flow distribution schedules for the subject Prop AA allocation request, and Attachment 7 contains a cash-flow-based summary table of the Fiscal Year 2014/15 allocations to date, including the subject Prop AA request. The proposed Prop AA Strategic Plan amendment advances \$707,199 in cash flow from Fiscal Year 2015/16 to Fiscal Year 2014/15 and \$910,253 from Fiscal Year 2016/17 to 2015/16 for the Dolores Street Pavement Renovation project while pushing out the cash flow by the same amounts and among the same fiscal years for the Mansell Corridor Improvement project. This results in no financial impacts to the pay-as-you-go program and no delays to the Mansell project.

Sufficient funds are included in the adopted Fiscal Year 2014/15 budget to accommodate the recommendation actions. Furthermore, sufficient funds will be included in future budgets to cover the recommended cash flow distribution for those respective fiscal years.

RECOMMENDATION

Recommend allocation of \$5,322,331 in Prop K Funds, with conditions, and allocation of \$2,210,000 in Prop AA funds, with conditions, for six requests, subject to the attached Fiscal Year Cash Flow Distribution Schedules and amendment of the Prop AA Strategic Plan.

Attachments (7):

1. Summary of Applications Received
2. Project Descriptions
3. Staff Recommendations
4. Prop K Capital Budget 2014/15
5. Prop K 2014/15 Fiscal Year Cash Flow Distribution – Summary Table
6. Prop AA Capital Budget 2014/15
7. Prop AA 2014/15 Fiscal Year Cash Flow Distribution – Summary Table

Enclosure:

1. Prop K/Prop AA Allocation Request Forms (6)

Attachment 1: Summary of Applications Received

Source	EP Line No./Category ¹	Project Sponsor ²	Project Name	Current Prop K Request	Current Prop AA Request	Total Cost for Requested Phase(s)	Prop K Leveraging		Phase(s) Requested	District
							Expected Leveraging by EP Line ³	Actual Leveraging by Project Phase(s) ⁴		
Prop K	5	TJPA	Downtown Extension	\$ 1,219,000	\$ -	\$ 1,219,000	86%	0%	Design	6
Prop K	34	DPW	West Portal Ave and Quintara St. Pavement Renovation	\$ 3,002,785	\$ -	\$ 3,100,592	79%	3%	Construction	7
Prop K	39	SFMTA	Twin Peaks Connectivity	\$ 23,000	\$ -	\$ 215,046	28%	89%	Planning, Environmental	7, 8
Prop K	42	DPW	Tree Planting and Maintenance	\$ 1,000,000	\$ -	\$ 4,182,733	57%	76%	Construction	Citywide
Prop K	43	SFE	Commuter Benefits Ordinance Employer Outreach	\$ 77,546	\$ -	\$ 77,546	54%	0%	Construction	Citywide
Prop AA	Street	DPW	Dolores St Pavement Renovation	\$ -	\$ 2,210,000	\$ 2,867,863	NA	NA	Construction	8
TOTAL				\$ 5,322,331	\$ 2,210,000	\$ 11,662,780	68%	39.5%		

Footnotes

¹ "EP Line No./Category" is either the Prop K Expenditure Plan line number referenced in the 2009 Prop K Strategic Plan or the Prop AA Expenditure Plan category referenced in the 2012 Prop AA Strategic Plan, including: Street Repair and Reconstruction (Street), Pedestrian Safety (Ped), and Transit Reliability and Mobility Improvements (Transit).

² Acronyms include DPW (Department of Public Works), SFE (San Francisco Department of the Environment), and SFMTA (San Francisco Municipal Transportation Agency), TJPA (Transbay Joint Powers Authority).

³ "Expected Leveraging By EP Line" is calculated by dividing the total non-Prop K funds expected to be available for a given Prop K Expenditure Plan line item (e.g. Pedestrian Circulation and Safety) by the total expected funding for that Prop K Expenditure Plan line item over the 30-year Expenditure Plan period. For example, expected leveraging of 90% indicates that on average non-Prop K funds should cover 90% of the total costs for all projects in that category, and Prop K should cover only 10%.

⁴ "Actual Leveraging by Project Phase" is calculated by dividing the total non-Prop K funds in the funding plan by the total cost for the requested phase or phases. If the percentage in the "Actual Leveraging" column is lower than in the "Expected Leveraging" column, the request (indicated by yellow highlighting) is leveraging fewer non-Prop K dollars than assumed in the Expenditure Plan. A project that is well leveraged overall may have lower-than-expected leveraging for an individual or partial phase.

Attachment 2: Brief Project Descriptions ¹

EP Line No.	Project Sponsor	Project Name	Prop K Funds Requested	Prop AA Funds Requested	Project Description
5	TJPA	Downtown Extension	\$ 1,219,000	\$ -	Prop K funds will be used for the design phase of the Downtown Extension (DTX), and will fund various professional service costs related to the design of DTX including project management and coordination, design review, right-of-way support, procurement options analysis, etc. Design work would be funded through June 2016.
34	DPW	West Portal Ave and Quintara St. Pavement Renovation	\$ 3,002,785	\$ -	Prop K funds will be used to repave approximately 11 blocks of Quintara Street and West Portal Avenue in the following locations: <ul style="list-style-type: none"> • Quintara Street from End to Funston Avenue • Quintara Street from Funston Avenue to 14th Avenue • Funston Avenue from Quintara Street to Rivera Street • West Portal Avenue from Ulloa Street to 15th Avenue The scope includes construction or re-construction of approximately 27 curb ramps as well as sidewalk and curb repairs. The scope also includes construction of 4 bulb-outs at the intersection of West Portal and Vicente, to be funded by SFMTA. DPW plans to start construction in December 2014 and complete construction by June 2015.
39	SFMTA	Twin Peaks Connectivity	\$ 23,000	\$ -	Prop K funds will be used for the planning and environmental review phases for a conceptual design to improve pedestrian and bicycle safety and access to the Twin Peaks Trail system from Twin Peaks Boulevard. Prop K funds will provide local match funds to a Metropolitan Transportation Commission Priority Conservation Area Grant (\$167,589). The project will be coordinated with the San Francisco Recreation and Parks Department (RPD) work on two Habitat Conservation Fund grants to rehabilitate the Portola and Peaks Trails, including the expansion of the Portola Trail to allow Twin Peaks to connect to Glen Park. SFMTA planning is anticipated to be completed by June 2015 and environmental review is anticipated to be completed by December 2015.

Attachment 2: Brief Project Descriptions ¹

EP Line No.	Project Sponsor	Project Name	Prop K Funds Requested	Prop AA Funds Requested	Project Description
42	DPW	Tree Planting and Maintenance	\$ 1,000,000	\$ -	<p>Prop K funds will be used for planting, establishing and maintaining street trees in public rights-of-way maintained by the DPW during Fiscal Year 2014/15. With Prop K funds DPW will be able to plant 375 trees, establish 599 young trees, and maintain 745 established trees in public non-park areas such as medians, public stairways and unimproved public property. Streets prioritized for tree maintenance include:</p> <ul style="list-style-type: none"> • 3rd St. from 16th St. to Bayshore Blvd. • Alemany Blvd. from Bayshore Blvd. to Junipero Serra Blvd. • California St. from Mason to 32nd Ave. • Divisadero St. from Waller St. to Pine St. • Dolores St. from Market St. to San Jose Ave. • Evans Ave. from 3rd St. to Jennings St. • Geary Blvd. from 15th Ave. to 30th Ave. • Hyde St. from Washington St. to Beach St. • Lombard St. from Kearny St. to Lyon St. • Mission St. from The Embarcadero to Huron Ave. • Masonic Avenue from Fell St. to Turk St. • Pine St. from Van Ness Ave. to Presidio Blvd. • Potrero Ave. from Division St. to Cesar Chavez St. • Sunset Blvd. from Lincoln Way to Lake Merced Blvd. • Van Ness Ave. from Market St. to Beach St.
43	SFE	Commuter Benefits Ordinance Employer Outreach	\$ 77,546	\$ -	<p>Prop K funds will be used for the administration of the Commuter Benefits Ordinance. The scope includes administration of ordinance compliance, outreach, program performance monitoring, staffing the CommuteSmart hotline, and updating the compliance process. Work would be funded through June 2015.</p>
Street	DPW	Dolores St Pavement Renovation	\$ -	\$ 2,210,000	<p>Prop AA funds will be used to repave approximately 12 blocks of Dolores Street between Market and Cesar Chavez Streets. The project includes construction of approximately 52 curb ramps as well as sidewalk and curb repairs. The scope also includes construction of two pedestrian refuge islands and four curb bulbs at the intersection of Dolores and 18th Streets, to be funded by Prop B Streets Bond funds. DPW anticipates starting construction in October 2014 and completing construction by September 2015.</p>
TOTAL			\$ 5,322,331	\$ 2,210,000	

¹ See Attachment 1 for footnotes.

Attachment 3: Staff Recommendations ¹

EP Line No.	Project Sponsor	Project Name	Prop K Funds Requested	Prop AA Funds Requested	Recommendation
5	TJPA	Downtown Extension	\$ 1,219,000	\$ -	Strategic Plan Policy Exception: This request requires a Strategic Plan policy exception to use \$1,219,000 in Prop K funds for the Design phase of the DTX (Phase 2). The 2009 Strategic Plan establishes that all remaining funds for the project, beyond those already programmed to Phase 1, shall be for construction of Phase 2.
34	DPW	West Portal Ave and Quintara St. Pavement Renovation	\$ 3,002,785	\$ -	
39	SFMTA	Twin Peaks Connectivity	\$ 23,000	\$ -	2014 5-Year Prioritization Program (5YPP) Adoption: Allocation of funds for this project requires Transportation Authority Board approval of the 2014 5YPP for the Bicycle Circulation/Safety category. The Board will consider adoption of this 5YPP on July 22.
42	DPW	Tree Planting and Maintenance	\$ 1,000,000	\$ -	
43	SFE	Commuter Benefits Ordinance Employer Outreach	\$ 77,546	\$ -	2014 5YPP Adoption: Allocation of funds for this project requires Transportation Authority Board approval of the 2014 5YPP for the Transportation Demand Management/Parking Management category. The Board will consider adoption of this 5YPP on July 22.
Street	DPW	Dolores St Pavement Renovation	\$ -	\$ 2,210,000	Prop AA Strategic Plan Amendment: The recommended allocation is contingent upon a Prop AA Strategic Plan amendment to advance \$707,199 in cash flow from Fiscal Year 2015/16 to Fiscal Year 2014/15 and \$910,253 from Fiscal Year 2016/17 to Fiscal Year 2015/16 for the Dolores Street Pavement Renovation project while pushing out the cash flow by the same amounts and among the same fiscal years for the Mansell Corridor Improvement project. This results in no financial impacts to the pay-as-you-go program and no delays to the Mansell project.
TOTAL			\$ 5,322,331	\$ 2,210,000	

¹ See Attachment 1 for footnotes.

**Attachment 4.
Prop K FY 2014/15 Capital Budget¹**

EP #	Sponsor	Project Name	Total	Cash Flow Distribution				
				FY 2014/15	FY 2015/16	FY 2016/17	FY 2017/18	FY 2018/19
TRANSIT								
5	TJPA	Transbay Transit Center and Downtown Extension	\$ 43,046,950	\$ 34,128,950	\$ 4,693,000	\$ 4,225,000		
5	TJPA	Downtown Extension	\$ 1,219,000	\$ 632,400	\$ 586,600			
Transit Subtotal			\$ 44,265,950	\$ 34,761,350	\$ 5,279,600	\$ 4,225,000	\$ -	\$ -
PARATRANSIT								
23	SFMTA	Paratransit	\$ 9,670,000	\$ 9,670,000				
Paratransit Subtotal			\$ 9,670,000	\$ 9,670,000	\$ -	\$ -	\$ -	\$ -
VISITACION VALLEY WATERSHED								
Visitacion Valley Watershed Subtotal			\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
STREET AND TRAFFIC SAFETY								
34	DPW	West Portal Ave and Quintara St. Pavement Renovation	\$ 3,002,785	\$ 2,402,228	\$ 600,557			
37	DPW	Public Sidewalk Repair	\$ 492,200	\$ 492,200				
39	SFMTA	Twin Peaks Connectivity	\$ 23,000	\$ 19,866	\$ 3,134			
42	DPW	Tree Planting and Maintenance	\$ 1,000,000	\$ 1,000,000				
Streets and Traffic Safety Subtotal			\$ 4,517,985	\$ 3,914,294	\$ 603,691	\$ -	\$ -	\$ -
TSM/STRATEGIC INITIATIVES								
43	SFE	Commuter Benefits Ordinance Employer Outreach	\$ 77,546	\$ 77,546				
TSM/Strategic Initiatives Subtotal			\$ 77,546	\$ 77,546	\$ -	\$ -	\$ -	\$ -
TOTAL			\$ 58,531,481	\$ 48,423,190	\$ 5,883,291	\$ 4,225,000	\$ -	\$ -

¹ This table shows Cash Flow Distribution Schedules for all FY 2014/15 allocations approved to date, along with the current recommended allocation(s).

Shaded lines indicate allocations/appropriations that are part of the current action.

Attachment 5.
Prop K FY 2014/15 Capital Budget Summary¹

	Total	FY 2014/15	FY 2015/16	FY 2016/17	FY 2017/18	FY 2018/19
Prior Allocations	\$ 53,209,150	\$ 44,291,150	\$ 4,693,000	\$ 4,225,000	\$ -	\$ -
Current Request(s)	\$ 5,322,331	\$ 4,132,040	\$ 1,190,291	\$ -	\$ -	\$ -
New Total Allocations	\$ 58,531,481	\$ 48,423,190	\$ 5,883,291	\$ 4,225,000	\$ -	\$ -

¹ This table shows total cash flow for all FY 2014/15 allocations approved to date, along with the current recommended allocation(s).

**Attachment 6.
Prop AA FY 2014/15 Capital Budget¹**

			Cash Flow Distribution			
Sponsor	Project Name	Total	FY 2014/15	FY 2015/16	FY 2016/17	FY 2017/18
STREET REPAIR AND RECONSTRUCTION						
DPW	Dolores St Pavement Renovation	\$ 2,210,000	\$ 707,199	\$ 1,502,801		
Street Repair and Reconstruction Subtotal		\$ 2,210,000	\$ 707,199	\$ 1,502,801	\$ -	\$ -
PEDESTRIAN SAFETY						
UC Hastings	McAllister St Campus Streetscape	\$ 1,762,206	\$ 1,762,206			
Pedestrian Safety Subtotal		\$ 1,762,206	\$ 1,762,206	\$ -	\$ -	\$ -
TRANSIT RELIABILITY AND MOBILITY IMPROVEMENTS						
SFMTA	City College Pedestrian Connector	\$ 42,000	\$ 42,000			
Transit Reliability and Mobility Improvements Subtotal		\$ 42,000	\$ 42,000	\$ -		\$ -
TOTAL		\$ 4,014,206	\$ 2,511,405	\$ 1,502,801	\$ -	\$ -

¹ This table shows Cash Flow Distribution Schedules for all FY 2014/15 allocations approved to date, along with the current recommended allocation(s).

Shaded lines indicate allocations/appropriations that are part of the current action.

Attachment 7.
Prop AA FY 2014/15 Capital Budget Summary¹

	Total	FY 2014/15	FY 2015/16	FY 2016/17	FY 2017/18
Prior Allocations	\$ 1,804,206	\$ 1,804,206	\$ -	\$ -	\$ -
Current Request(s)	\$ 2,210,000	\$ 707,199	\$ 1,502,801	\$ -	\$ -
New Total Allocations	\$ 4,014,206	\$ 2,511,405	\$ 1,502,801	\$ -	\$ -

¹ This table shows total cash flow for all FY 2014/15 allocations approved to date, along with the current recommended allocation(s).